

REPORT TO THE CITY COUNCIL BY THE CITY INTERNAL AUDITOR

FRAUD HOTLINE ACTIVITY REPORT

FOR THE PERIOD
JANUARY 1, 2010 THROUGH DECEMBER 31, 2011

December 30, 2011





The Council
City of Shreveport

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December 30, 2011

Councilman Sam Jenkins
Chairman, Shreveport City Council

Dear Councilman Jenkins:

Subject: Fraud Hotline Activity Report

This report is to provide the City Council with information on the activities of the *Fraud Hotline* for the period of January 1, 2010 through December 31, 2011.

Please review the report. Should you have questions or comments, feel free to contact me.

Sincerely,

Leanis L. Steward, CPA, CIA
City Internal Auditor

BL:nw

c: City Council
Clerk of Council
Mayor
Chief Administrative Officer
BKD

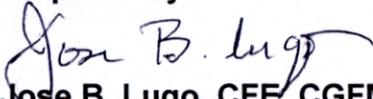
FRAUD HOTLINE ACTIVITY REPORT
For Allegations Received During the Period
January 1, 2010 through December 31, 2011

During the reporting period, nine allegations of possible fraud, waste, and abuse were recorded and two were carried over from 2009. Two allegations (*Fraud Hotline* Allegations 10-004 and 10-005) were referred to the appropriate levels of management for their attention and necessary action. The following report details the status of each of the allegations recorded.

- **Substantiated (or referred for corrective action):** *Fraud Hotline* Allegation 10-005.
- **Non-substantiated:** The validity of seven allegations could not be substantiated. (*Fraud Hotline* Allegations 09-003, 09-004, 10-001, 10-002, 10-003, 10-004 and 11-002).
- **Pending:** Disposition on three allegations remained pending. (*Fraud Hotline* Allegations 11-001, 11-003 and 11-004).

Additionally, some calls were received and referred to other agencies including the Shreveport and Bossier City Police Departments, Internal Revenue Service, Social Security Administration, State Legislative Auditor's Office, State Social Services Department, Caddo Parish Sheriff, U.S. Postal Service, and others. None of these calls were assigned a case number.

Prepared by:


Jose B. Lugo, CFE, CGFM
Staff Auditor

Approved by:


Leanis L. Steward, CPA, CIA
City Internal Auditor

BL:nw